

Summary of Amendments

Please be advised that, the following sections of the Futures Trading Agreement (the "Trading Agreement") shall be amended with effect from 1st May, 2017.

Section	Amendment(s)
Definition	<p>The definition of "CRS" shall be inserted as follow:</p> <p>"CRS" Common Reporting Standard and associated guidance published by the Organization for Economic Co-operation and Development</p>
General Terms and Conditions	<p>The following shall be inserted as a New Clause 3.21.</p> <p>3.21 "If CJF HK solicit the sale of or recommend any financial product to the client, the financial product must be reasonably suitable for the client having regard to the client's financial situation, investment experience and investment objectives. No other provision of this Trading Agreement or any other document the CJF HK may ask the client to sign and no statement the CJF HK may ask the client to make derogates from this clause."</p>
General Terms and Conditions	<p>The existing Clause 25 shall be replaced as follow:</p> <p>Clause 25</p> <p>25 FATCA/ CRS COMPLIANCE</p> <p>25.1 The Client hereby agrees and consents that CJF HK, CJF HK Group and their agents and service providers may collect, store and process information obtained from the Client or otherwise in connection with this Agreement for the purposes of complying with FATCA/ CRS and/or other applicable law, including disclosures between CJF HK and any of them and to the governmental authorities of the United States of America, Hong Kong and/or other jurisdictions. To the extent permitted by law, Client hereby waives any provision of any data protection, privacy, banking secrecy or other law or regulation of any jurisdiction and/or the terms of any confidentiality agreement,</p>

arrangement or understanding that would otherwise prevent compliance by CJF HK, CJF HK Group and their agents and service providers with FATCA/ CRS and/or other applicable law. The Client acknowledges that this may include transfers of information to jurisdictions which do not have strict data protection, data privacy laws or banking secrecy laws. The Client shall ensure that, before the Client or anyone on its behalf discloses information relating to any third party to the CJF HK, CJF HK Group or their agents or service providers in connection with this Agreement or that third party has been provided with such information and has given such consents or waivers as are necessary to allow CJF HK, CJF HK Group and their agents and service providers to collect, store, process and disclose his, her or its information as described in this Clause 25.

25.2 The Client shall upon request by the CJF HK confirm to CJF HK (i) whether the Client is a person who is entitled to receive payments free from any deduction or withholding as required by FATCA/ CRS (the "FATCA/ CRS Exempt Person"); and (ii) supply to CJF HK such forms, documentation and other information relating to the Client's status under FATCA/ CRS (including its applicable passthru rate or other information required under the US Treasury Regulations or other official guidance including intergovernmental agreements) as CJF HK reasonably requests for the purposes of that CJF HK's compliance with FATCA/ CRS (and the compliance of any of CJF HK Group).

25.3 If the Client confirms to CJF HK pursuant to the above that the Client is a FATCA/ CRS Exempt Party and the Client subsequently becomes aware that the Client is not, or has ceased to be a FATCA/ CRS Exempt Party, the Client shall notify CJF HK as soon as reasonably practicable.

25.4 If the Client fails to confirm its status or to supply forms, documentation or other information requested in accordance with Clause 25.2 (including, for avoidance of doubt, where Clause 25.3 applies), then: (i) If the Client fails to confirm whether the Client is (and/or remains) a FATCA/ CRS Exempt Party then the Client will be treated as if the Client is not a FATCA/ CRS Exempt Party; and (ii) If the Client fails to confirm its applicable passthru rate then the Client will be treated as if its applicable passthru rate is 100%, until such time as

the Client provides CJF HK the requested confirmation, forms, documentation or other information.

25.5 If CJF HK is required pursuant to FATCA/ CRS or otherwise by law to withhold or deduct any FATCA/ CRS withholding taxes (including any penalties or interest payable in connection with any failure to pay or any delay in paying any such taxes) on any payments to the Client, CJF HK may deduct such taxes and CJF HK will not be required to increase any payment in respect of which CJF HK makes such withholding. The Client shall be treated for all purposes of this Agreement as if the Client had received the full amount of the payment, without any deduction or withholding. The Client shall provide CJF HK such additional documentation reasonably requested by CJF HK to determine the amount to deduct and withhold from such payment.