

Summary of Amendments

Please be advised that, the following sections of the Agreement for Securities Trading Account (the “Trading Agreement”) shall be amended with effect from 23rd September, 2024.

Section	Amendment
<p>PART I DEFINITIONS;</p> <p>Part II GENERAL TERMS AND CONDITIONS;</p> <p>Part III ADDITIONAL TERMS APPLICABLE TO RESPECTIVE ACCOUNTS AND SERVICES;</p> <p>PART IV - RISK DISCLOSURE STATEMENT;</p> <p>PART VI - PERSONAL INFORMATION PROTECTION POLICY FOR THE CLIENTS OF THE MAINLAND CHINA (“POLICY”)</p>	<p>Add and Change the following terms:</p> <p>[Add] Part I - 1.1: <u>Definitions of “Business Day”</u></p> <p>[Change] Part II - 2.11: to the Broker’s office within three <u>(3)</u> business days after.....</p> <p>[Change] Part II - 11.2: period of seven (7) <u>calendar</u> days.....</p> <p>[Change] Part I - 17.3: or two <u>(2)</u> <u>calendar</u> days after.....</p> <p>[Change] Part II – 22(J): The Broker has the right to cancel the Client’s orders in case of contingency <u>or force majeure</u> such as hoisting of Typhoon Signal No 8 or above in Hong Kong;</p> <p>[Change] Part II – 23.9:The effective date of the notice is <u>fourteen (14) calendar days</u> two weeks from the date.....</p> <p>[Change] Part II – 23.11: at least fourteen (14) <u>calendar</u> days prior to</p> <p>[Change] Part III – Schedule B – 5.5: valid for a period of <u>twelve (12) months</u> from</p> <p>[Change] Part III – Schedule B – 5.6: upon the expiry of <u>fourteen (14) calendar days</u> two weeks from the date of your <u>the Broker’s</u> actual receipt of such notice.</p> <p>[Change] Part III – Schedule B – 5.7: at least <u>fourteen (14)</u> <u>calendar</u> days prior to</p> <p>[Change] Part IV – 4.2: not more than <u>twelve (12)</u> months.</p> <p>[Change] Part IV – 4.3: at least <u>fourteen (14)</u> <u>calendar</u> days prior to</p> <p>[Change] Part VI – 9:The Broker will reply within <u>sixty (60) working business</u> days or within the time limit required by laws and regulations.</p> <p>[Change] Part VI – 11:The Broker will reply within <u>sixty (60) working business</u> days or within the time limit required by laws and regulations.</p>