![長江證券經紀（香港）有限公司 CHANGJIANG SECURITIES BROKERAGE (HK) LIMITED]

有關香港投資者識別碼制度及場外證券交易匯報制度的客戶識別信息更新

根據香港聯合交易所有限公司(「聯交所」)與證券及期貨事務監察委員會(「證監會」) 的規則和規定，在香港投資者識別碼制度及場外證券交易匯報制度下，我們長江證券經紀（香港）有限公司需要向客戶收集最新的客戶識別信息，而按優先次序可接納為客戶識別信息的身分證明文件的排序表如下：

(a) 就個人客戶而言：
   （1）香港身份證；（2）國民身分證明文件；（3）護照

(b) 就公司客戶而言：
   （1）法律實體識別編碼（LEI）登記文件；（2）公司註冊證明書；（3）商業登記證；
   （4）其他同等文件

(c) 就屬信託的客戶而言：
   受託人的客戶識別信息應與上述公司或個人客戶的客戶識別信息的規定相同

(d) 就聯名帳戶的客戶而言：
   聯名帳戶內所有客戶的客戶識別信息應與上述規定一致，視乎客戶的性質而定

如閣下提供給我司的客戶識別信息（參見下表中的身份證明文件資料）有任何變更，或先前提供給我司的身份證明文件不符合上述證監會的排序表規定，請填寫下表並將已填妥的表格連同相關身份證明文件的副本，以郵寄、電郵或傳真方式送回本公司。

身份證明文件上所示的全名	
身份證明文件類別 （按優先次序排第） *備註	**個人客戶** ☐（1）香港身份證 ☐（2）國民身分證明文件 ☐（3）護照 **公司客戶** ☐（1）法律實體識別編碼（LEI）登記文件 ☐（2）公司註冊證明書 ☐（3）商業登記證 ☐（4）其他同等文件 **信託客戶的受託人** ☐（1）香港身份證 ☐（2）國民身分證明文件 ☐（3）護照

	或 □（1）法律實體識別編碼（LEI）登記文件 □（2）公司註冊證明書 □（3）商業登記證 □（4）其他同等文件 **_聯名賬戶內的所有客戶_** □（1）香港身份證 □（2）國民身分證明文件 □（3）護照
身份證明文件的簽發國家/司法管轄區	
身份證明文件號碼	

*備註：如在開戶過程中並未提供符合證監會的身份證明文件排序表規定的身份證明文件，請向我司提供相關身份證明文件的副本。例如，對於持有香港身份證但未曾提供此類文件的個人客戶，該個人客戶需要向我司提供以上客戶識別信息和香港身份證副本。

客戶簽署

客戶簽署
S.V.
X _____
（所有聯名客戶必須簽名）

帳戶號碼：

帳戶名稱：

日期：

For Office Use Only 本公司專用				
Sales A.E. Code :	Approved By :	Data Input By :	Checked By :	Remarks :
	Name:	Name:	Name:	Name:
	Date:	Date:	Date:	

長江證券經紀（香港）有限公司
CHANGJIANG SECURITIES BROKERAGE (HK) LIMITED

Update of Client Identification Data concerning the Hong Kong Investor Identification Regime and Over-the-counter Securities Transactions Reporting Regime

According to the rules and requirements of the Stock Exchange of Hong Kong ("SEHK") and the Securities and Futures Commission ("SFC"), under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transactions Reporting Regime, we Changjiang Securities Brokerage (HK) Limited are required to collect the up-to-date client identification data （"CID"）from you, and the waterfall of identity documents acceptable as the CID in order of priority is as follows:

(a) For an individual client：
    (1) HKID card; (2) National identification document; (3) Passport

(b) For a corporate client：
    (1) Legal Entity Identifier ("LEI") registration document; (2) Certificate of incorporation;
    (3) Business registration certificate; (4) Other equivalent documents

(c) For a client that is a trust：
    The CID of the trustee should be the same as that of a corporate or individual client as set out above

(d) For clients of a joint account：
    The CID for all clients named for a joint account should be in line with the above requirements, depending on the nature of the clients

If there is any change in the CID (refer to the identity document information in the table below) you provided to us, or the identity document previously provided to us is not in accordance with the waterfall requirements of the SFC as set out above, please fill in the table below and send the completed form along with a copy of the required identity document to us by post, email or fax.

Full name as shown on your identity document	
Identity document type (in order of priority) *Remarks*	**Individual client** ☐ (1) HKID card ☐ (2) National identification document ☐ (3) Passport **Corporate client** ☐ (1) Legal Entity Identifier ("LEI") registration document ☐ (2) Certificate of incorporation ☐ (3) Business registration certificate ☐ (4) Other equivalent documents **Trustee of a client that is a trust** ☐ (1) HKID card ☐ (2) National identification document ☐ (3) Passport

         CJSBHK_HKCID_11/24

	Or ☐ (1) Legal Entity Identifier（"LEI"）registration document ☐ (2) Certificate of incorporation ☐ (3) Business registration certificate ☐ (4) Other equivalent documents **<u>All clients of a joint account</u>** ☐ (1) HKID card ☐ (2) National identification document ☐ (3) Passport
Identity document's issuing country / jurisdiction	
Identity document number	

Remarks: If the identity document in accordance with the waterfall requirements of the SFC was not provided in the account opening process, please provide us with a copy of the required identity document. For instance, for an individual client who possesses HKID card but such document has not been previously provided, such individual client is required to provide the above CID and a copy of HKID card to us accordingly.

Client's Signature

Authorized Signature

S.V.

X _____

(All account holders of joint account must sign jointly or with company chop)

Account Number：

Account Name：

Date：

For Office Use Only 本公司專用				
Sales A.E. Code :	Approved By :	Data Input By :	Checked By :	Remarks :
	Name:	Name:	Name:	Name:
	Date:	Date:	Date:	Date:

CJSBHK_HKCID_11/24